8 Compensator Design: Combined Control Law and Estimator

• If we combine the control law and the estimator, then we have the plant equation with feedback based on the estimated state $u = -K\hat{x}$:

$$\dot{x} = Ax + Bu = Ax - BK\hat{x}$$
$$\dot{\hat{x}} = A\hat{x} + Bu + L(y - C\hat{x}) = A\hat{x} - BK\hat{x} + L(y - C\hat{x})$$

If we introduce the estimation error $\tilde{x} = x - \hat{x}$, then we have



$$\dot{x} = Ax - BK(x - \tilde{x}) = (A - BK)x + BK\tilde{x}$$
$$\dot{\tilde{x}} = (A - LC)\tilde{x}$$

The overall system is described by using the state x and estimation error \tilde{x} as follow:

$$\begin{bmatrix} \dot{x} \\ \dot{\tilde{x}} \end{bmatrix} = \begin{bmatrix} A - BK & BK \\ 0 & A - LC \end{bmatrix} \begin{bmatrix} x \\ \tilde{x} \end{bmatrix}$$

• (Separation Principle) The characteristic equation of the closed-loop system is

$$\det \begin{bmatrix} sI - A + BK & -BK \\ 0 & sI - A + LC \end{bmatrix} = \det(sI - A + BK) \cdot \det(sI - A + LC) = \alpha_c(s) \cdot \alpha_e(s) = 0$$

The designs of the control law and the estimator can be carried out independently.

• (Dynamic Compensator) Consider only controller based on the estimator from y to u

$$\dot{\hat{x}} = A\hat{x} - BK\hat{x} + L(y - C\hat{x}) = (A - BK - LC)\hat{x} + Ly$$
$$u = -K\hat{x}$$

The characteristic equation of the dynamic compensator from y to u becomes

$$\det(sI - A + BK + LC) = 0$$

The TF of dynamic compensator will be obtained as

$$D_c(s) = \frac{U(s)}{Y(s)} = -K(sI - A + BK + LC)^{-1}L$$

• (Dynamic Compensator based on Reduced-Order Estimator) The same development can be carried out for the reduced-order estimator. Here the control law is

$$u = -\begin{bmatrix} K_a & K_b \end{bmatrix} \begin{bmatrix} y \\ \hat{x}_b \end{bmatrix} = -K_a y - K_b \hat{x}_b$$

and the reduced-order estimator is

$$\dot{x}_{c} = (A_{bb} - LA_{ab})x_{c} + (A_{bb}L - LA_{ab}L + A_{ba} - LA_{aa})y + (B_{b} - LB_{a})u \qquad \hat{x}_{b} = x_{c} + Ly$$

Let us apply the control law to the estimator, then we have

$$\begin{aligned} \dot{x}_{c} &= (A_{bb} - LA_{ab})x_{c} + (A_{bb}L - LA_{ab}L + A_{ba} - LA_{aa})y + (B_{b} - LB_{a})(-K_{a}y - K_{b}\hat{x}_{b}) \\ &= [A_{bb} - LA_{ab} - (B_{b} - LB_{a})K_{b}]x_{c} + [A_{bb}L - LA_{ab}L + A_{ba} - LA_{aa} - (B_{b} - LB_{a})(K_{a} + K_{b}L)]y \\ &= A_{r}x_{c} + B_{r}y \\ u &= -K_{a}y - K_{b}(x_{c} + Ly) = [-K_{b}]x_{c} + [-(K_{a} + K_{b}L)]y \\ &= C_{r}x_{c} + D_{r}y \end{aligned}$$

The TF of the dynamic compensator is

$$D_{cr} = \frac{U(s)}{Y(s)} = C_r (sI - A_r)^{-1} B_r + D_r$$

• (Example) Design a compensator using pole placement for the satellite plant with $G(s) = \frac{1}{s^2}$. Place the control poles at $\omega_n = 1, \zeta = \frac{1}{\sqrt{2}}$ and place the estimator poles at $\omega_n = 5, \zeta = \frac{1}{2}$

Since the desired control poles $s_{1,2} = \omega_n(-\cos\theta \pm j\sin\theta)$ with $\theta = 90^\circ - \sin^{-1}\zeta$, we have

$$s_{1,2} = -\frac{1}{\sqrt{2}} \pm j\frac{1}{\sqrt{2}} \qquad \rightarrow \qquad \alpha_c(s) = s^2 + \sqrt{2}s + 1 = 0 \quad \leftarrow \qquad s^2 + 2\zeta\omega_n s + \omega_n^2 = 0$$

Let us find the state feedback gain (matlab code K = place(A,B,pc)) as follows:

$$\det(sI - A + BK) = \det \begin{bmatrix} s & -1 \\ K_1 & s + K_2 \end{bmatrix} = s^2 + K_2 s + K_1 \qquad \rightarrow \qquad K = \begin{bmatrix} 1 & \sqrt{2} \end{bmatrix}$$

Since the desired estimator poles $s_{1,2} = \omega_n(-\cos\theta \pm j\sin\theta)$ with $\theta = 90^\circ - \sin^{-1}\zeta$, we have

$$s_{1,2} = 5(-\frac{1}{2} \pm j\frac{\sqrt{3}}{2}) \qquad \to \qquad \alpha_e(s) = s^2 + 5s + 25 = 0 \quad \leftarrow \qquad s^2 + 2\zeta\omega_n s + \omega_n^2 = 0$$

Let us find the estimator gain (matlab code Lt = place(A',B',pe), L = Lt') as follows:

$$\det(sI - A + LC) = \det \begin{bmatrix} s + L_1 & -1 \\ L_2 & s \end{bmatrix} = s^2 + L_1s + L_2 \qquad \rightarrow \qquad L = \begin{bmatrix} 5 \\ 25 \end{bmatrix}$$

The TF of dynamic compensator is

$$D_{c}(s) = -K(sI - A + BK + LC)^{-1}L$$

= $-\left[K_{1} \quad K_{2}\right] \begin{bmatrix} s + L_{1} & -1 \\ K_{1} + L_{2} & s + K_{2} \end{bmatrix}^{-1} \begin{bmatrix} L_{1} \\ L_{2} \end{bmatrix}$
= $-\left[1 \quad \sqrt{2}\right] \begin{bmatrix} s + 5 & -1 \\ 26 & s + \sqrt{2} \end{bmatrix}^{-1} \begin{bmatrix} 5 \\ 25 \end{bmatrix}$
= $-40.4 \frac{s + 0.619}{s^{2} + 6.414s + 33.071}$

which looks very much like a lead compensator in that it has a zero -0.619 on the real axis to the right of its poles $-3.21 \pm j4.77$. Phase margin can be checked from Nyquist plot of $G(s)D_c(s) = 40.4 \frac{s+0.619}{s^2(s^2+6.414s+33.071)}$,



• (Example) Repeat the design for $G(s) = \frac{1}{s^2}$, but use a reduced-order estimator. Place the one estimator pole at -5

$$\dot{x} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u \qquad \qquad y = \begin{bmatrix} 1 & 0 \end{bmatrix} x \qquad \qquad K = \begin{bmatrix} 1 & \sqrt{2} \end{bmatrix}$$

The characteristic equation of the reduced-order estimator and the desired characteristic equation are

$$\det[s - A_{bb} + LA_{ab}] = s + L \quad \rightleftharpoons \quad s + 5 = 0$$

Thus L = 5. From the above results, we have

$$A_{r} = A_{bb} - LA_{ab} - (B_{b} - LB_{a})K_{b} = 0 - 5 - \sqrt{2} = -6.414$$
$$B_{r} = A_{r}L + A_{ba} - LA_{aa} - (B_{b} - LB_{a})K_{a} = -6.414 \times 5 - 1 = -33.07$$
$$C_{r} = -K_{b} = -1.414$$
$$D_{r} = -K_{a} - K_{b}L = -1 - \sqrt{2} \times 5 = -8.071$$

Thus the dynamic compensator becomes

$$\dot{x}_c = -6.414x_c - 33.07y$$
$$u = -1.414x_c - 8.071y$$

The TF of the dynamic compensator is

$$D_{cr}(s) = \frac{U(s)}{Y(s)} = C_r(sI - A_r)^{-1}B_r + D_r = -8.071\frac{s + 0.619}{s + 6.414}$$

which is precisely a lead compensator. Phase margin of 55° can be confirmed from Nyquist plot.



- (Example 7.27) Design a compensator using pole placement for the satellite plant with $G(s) = \frac{1}{s^2}$. Place the control poles at $\omega_n = 1.13, \zeta = 0.7$ and place the estimator poles at $\omega_n = 8, \zeta = 0.5$
- (Example 7.28) Repeat the design for $G(s) = \frac{1}{s^2}$, but use a reduced-order estimator. Place the one estimator pole at -10
- (Example 7.29) Dynamic compensator using full-order estimator can be unstable. However, an unstable compensator is typically not acceptable.
- (Example 7.30) Dynamic compensator using reduced-order estimator can be nonminimum phase.
- (Example 7.31) Dynamic compensator using the SRL and LQR can be stable and minimum phase, but it is not guaranteed.
- (Example 7.32) The control gains are much lower, and the compensator design is less radical.